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### **Technical Section**

# Illusory light: Perceptual appearance control using a projection-induced illusion

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#### ABSTRACT

With projection mapping, we can control the appearance of real-world objects by adding illumination. A projector can be used to control light reflected from an object, where the reflected light depends not only on the projection but also on the reflectance and environmental light. Because the resulting colors are affected by the reflectance and environmental light, the presentable color range of a projector is limited. The purpose of this work is to broaden this limited range by focusing on the perceived colors. Although our eyes capture reflected light to perceive the colors of an object, the colors perceived by humans are not always the same as the actual colors, and there are often significant differences between them because of the human visual system. To overcome the limitations of a projector based on human perception, we intentionally generate this difference by inducing a visual illusion, namely, color constancy. In this work, we designed an algorithm to determine the projected colors for presenting the desired colors perceptually by employing a color constancy effect. In addition, we conducted a user study and confirmed that our algorithm can (1) create a misperception regarding the color of illumination, (2) broaden the presentable color range of a projector, and (3) shift the perceptual colors in the desirable direction.

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#### 1 1. Introduction

The properties of an object, such as its reflectance, texture, 2 and material, significantly affect its appearance. Projection map-3 4 ping is a technology that controls the projecting light so that the 5 light reflected on an object surface to appear in a designated color and intensity. It is currently used for entertainment in amusement 6 parks [1], museum events [2], gaming [3], and educational and 7 medical situations [4,5]. Given the degree to which this technology 8 9 has been adopted, there always be a pressure for further improve-10 ment.

11 A projector can control the appearance of a real-world object 12 by illuminating it, albeit with an upper limit on brightness. Owing to these features and the spectral reflectance of a projected 13 object, only limited colors can be presented by a projector. Envi-14 ronmental light becomes an offset of the projection and decreases 15 the contrast. Thus, the presentable color range depends on "the re-16 flectance of a projected surface" and "the presence of environmen-17 tal light". In this paper, we define the "presentable color range" 18 as the range of the colors resulting from projection on an object. 19 Fig. 1 shows how the presentable color range narrows according to 20 these two factors. In Fig. 1-(a), an ideal environment for a projec-21 tor is shown. When there is no environmental light and the surface 22 is Lambertian, with a reflectance of 1, the projected light reflects 23 off the surface in a completely diffusive manner. Under this situa-24 tion, the performance of the projector is fully realized. By contrast, 25 when the surface is not white (Fig. 1-(b)), the surface exhibits a 26 biased spectral reflectance. Depending on the spectral reflectance, 27 light with a specified wavelength is barely reflected from the sur-28 face. In addition, environmental light, as shown in (Fig. 1-(c)), be-29 comes offset and the contrast of the projection decreases. Under 30 this type of situation, the performance of the projector decreases 31 and the presentable color range narrows. 32

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**Fig. 1.** Presentable color ranges of a projector depending on the surface color and environmental light. (a) Blue illumination from the projector fully reflects on the white surface, (b) the blue illumination hardly reflects on the red surface, and the resulting color darkens, and (c) white environmental light mixes with blue reflected light, and the resulting color becomes more pale. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)



Fig. 2. Examples of color constancy. Although the colors of left A and right B appear to be different, the two colors are physically the same.

33 To broaden this range, we focused on the property of human perception. The number of physically presentable colors is not al-34 ways the same as the number of human perceivable colors. Hu-35 mans perceive a color as a relative value affected by the surround-36 37 ing elements. For example, as shown in Fig. 2, humans perceive colors A through D as relatively similar, regardless of whether the 38 39 environmental light is orange or cyan. On the other hand, actual colors are altered from the environmental light. For example, the 40 color of A in the left image and of B in the right image appear 41 to be different colors. However, these two colors are physically the 42 43 same. This is an effect of color constancy and is a type of visual illusion. The main purpose of numerous studies conducted on the 44 use of projection is to present projected content to human viewers. 45 In other words, even if the presented colors are physically incor-46 rect, there will be no problem if the colors are perceptually correct. 47 Our idea is to intentionally create differences between the physical 48 and perceptual colors by inducing color constancy to perceptually 49 50 broaden the presentable color range of a projector.

In this paper, we present an algorithm for determining a pro-51 52 jected color for perceptually presenting a desired color that cannot be presented using a naïve light projection. To induce color con-53 stancy, the colors of both the target and surrounding areas must 54 be modified. Our algorithm calculates suitable projection colors for 55 both areas to present a desirable color. In addition, we conducted 56 57 a user study to confirm that our algorithm can 1) induce color 58 appearance shifts by color constancy, 2) broaden the presentable color range of a projector, and 3) shift a perceptual color toward 59 the desired direction. 60

### 2. Related work

Our method controls the colors of real-world objects through 62 light projection. This technique can be categorized as a type of 63 spatial augmented reality (SAR). SAR is an augmented reality tech-64 nique that visualizes virtual information in the real world, allow-65 ing viewers to observe information without a tablet PC or head-66 mounted display [6]. Raskar et al. [7] proposed the use of "Shader 67 Lamps," which can change the appearance of an object with a com-68 plex geometry by projecting images while avoiding geometric dis-69 tortion. Based on their research, numerous studies have been con-70 ducted on solving geometrical problems when applying projection 71 mapping onto various objects, such as rigid moving objects [8,9], 72 deformable objects [10,11], and faces [12]. 73

Numerous studies have also focused on reproducing a desired 74 color appearance, which is also the aim of the present research. 75 Nayar et al. [13] proposed a radiometric compensation technique 76 using a color-mixing matrix between a camera and a projector. 77 In addition, Grossberg et al. [14] proposed an off-line calibration 78 method for achieving on-line compensation. Brown et al. [15] pro-79 posed a model-based method for controlling the brightness of a 80 display produced by a multi-projector system. Although these ap-81 proaches can only be applied under static situations, methods that 82

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can be applied under dynamic situations have also appeared. The 83 84 method by Fujii et al. [16] can compensate the projected appearance in a dynamic environment using a feedback algorithm. Amano 85 86 et al. [17,18] proposed an algorithm that estimates the reflectance of a real object and controls its appearance in real time by creat-87 ing a feedback structure, and Akiyama et al. [19] extended it to ro-88 bustly estimate reflectance in a dynamic light environment. These 89 studies are physically based, and the appearance as perceived by a 90 91 human reviewer is not considered.

92 Our study reproduces colors based on how they are perceived 93 by humans. In the SAR field, color perception is considered based 94 on several different color compensation techniques. Grundhöfer et al. [20] established a method for reproducing perceptually high-95 96 contrast images in a projected display. Ashdown et al. [21] proposed a method for producing a perceptually wider dynamic range 97 for photometric compensation. Madi et al. [22] created a model 98 of color constancy for reproducing the appearance of an object 99 under different lighting conditions. Pjanic et al. [23] proposed 100 a perception-based compensation technique for implementing a 101 seamless multi-projection system by employing RLab, a type of 102 color perception model. All previous techniques described above 103 take human-perceived colors into consideration. However, most 104 105 studies have focused on reproducing perceptually correct colors. 106 This is different from our main goal: broadening the presentable 107 color range of a projector.

Some studies have focused specifically on inducing a visual il-108 lusion. Kawabe et al. proposed a method for providing dynamic 109 110 expression of static objects by projecting dynamic gray-scaled images [24], whereas Fukiage et al. [25] created a perceptual model to 111 determine the suitable motion amount that humans can perceive 112 as natural. Kawabe et al. also proposed a method for providing 113 114 perceptual depth or transparency to letters or drawings on paper 115 by visualizing virtual shadows [26]. The research described herein 116 uses visual illusions that artificially create an expression that is difficult to create physically. 117

In this study, we induce a visual illusion of color using light 118 projection to broaden the presentable color range of a projector. 119 120 Some of the studies above have taken color perception into consideration, and some have induced a visual illusion. Unlike these 121 studies, however, we induce a visual illusion of color to control 122 the object colors perceptually. We extend our previous work that 123 described the system [27,28] with a user study and evaluate the 124 performance of our system. In this paper, we describe our new al-125 gorithm for calculating suitable projection colors and present de-126 127 sirable colors in a perceptual manner.

#### 128 3. Projection technique for controlling perceived colors

In this section, we describe how to control the colors of objects perceptually by inducing color constancy. This section consists of two parts: one on inducing color constancy and the other on calculating a suitable projection color. We call our method projectioninduced illusion (PII). We use the RGB color space for explanation.

#### 134 3.1. Model for inducing the illusion

The perceived colors of objects remain relatively constant under 135 136 varying but uniform illumination conditions owing to the effects of color constancy [29]. The human visual system estimates colors of 137 138 illumination and negates their effects to allow the original colors of the objects to be perceived. In other words, if we can create a 139 misperception regarding the color of the illumination for observers, 140 we can induce an illusion and control the perceived colors of ob-141 jects. Here, we describe how to create this misperception regarding 142 color illumination for controlling object color through the use of a 143 projector. 144

We introduce a photometric model which is shown in the research by Fujii et al. [16]. The irradiance measured by a camera is 146

$$C_i = \int (l(\lambda) + P_j \omega_j(\lambda)) s(\lambda) q_i(\lambda) d\lambda$$
(1)

where  $l(\lambda)$  is the irradiance on the scene by environmental light; 148  $P_i$  is the brightness of the projector for the j channel;  $\omega_i(\lambda)$  is 149 the spectral response for projector channel j, where  $\lambda$  is wave-150 length; and  $s(\lambda)$  is the spectral reflectance of a surface and  $q_i(\lambda)$ 151 is the camera spectral response for color camera channel *i*, *i*, *j* are 152 channels and i, j = R, G, B. Suppose that the camera and the pro-153 jector each have three color channels (RGB). We assume that the 154 reflectance of the surface is effectively constant within each of the 155 camera bands. Thus, we can rewrite Eq. (1) as 156

$$C_i \approx K_i \int (l(\lambda) + P_j \omega_j(\lambda)) q_i(\lambda) d\lambda$$
(2)

Using Eq. (2), we can denote the model at each pixel using vectors 157 and matrices as 158

$$\boldsymbol{c} = \mathbf{K}(\boldsymbol{l} + \mathbf{V}\boldsymbol{p}) \tag{3}$$

where:

$$\mathbf{c} = \begin{pmatrix} c_R \\ c_G \\ c_B \end{pmatrix}, \mathbf{K} = \begin{pmatrix} k_R & 0 & 0 \\ 0 & k_G & 0 \\ 0 & 0 & k_B \end{pmatrix}, \mathbf{l} = \begin{pmatrix} l_R \\ l_G \\ l_B \end{pmatrix}$$
$$\mathbf{V} = \begin{pmatrix} v_{RR} & v_{RG} & v_{RB} \\ v_{GR} & v_{GG} & v_{GB} \\ v_{BR} & v_{BG} & v_{BB} \end{pmatrix}, \mathbf{p} = \begin{pmatrix} p_R \\ p_G \\ p_B \end{pmatrix}$$
$$\mathbf{a} = \int \omega_B(\lambda) q_A d\lambda,$$
$$\mathbf{k} = \int l(\lambda) q_A(\lambda) d\lambda.$$

The interaction of spectral response of the projector and the camera are described by the color mixing matrix **V**. 161

Fig. 3 shows the concept of our technique. We illustrate the 162 case of changing the object color from red to sky blue through 163 light projection. We regard the object's surface as Lambertian and 164 focus only on diffuse reflection. We classify the object into two 165 areas: the central and surrounding areas. The central area in the 166 image on the left side of Fig. 3 is the red region, and the sur-167 rounding area is the gray region. The central area has reflectance 168  $\mathbf{K}_{\mathbf{c}} = \text{diag}(k_{c,r}, k_{c,g}, k_{c,b}),$  and the environmental light  $\mathbf{l} = (l_r, l_g, l_b)^{\mathrm{T}}$ 169 and projection are reflected onto the surface in the central area 170  $\mathbf{p_c} = (p_{c,r}, p_{c,g}, p_{c,b})^{\mathrm{T}}$ . Reflected light from the central area  $\mathbf{r_c}$  is 171 measured by the camera, and it is expressed as 172

$$\mathbf{c}_{\mathbf{c}} = \mathbf{K}_{\mathbf{c}}(\mathbf{p}_{\mathbf{c}} + \mathbf{l}). \tag{4}$$

Our eyes capture this reflected light to sense the color of the cen-173 tral area. We refer to the physical color determined by the wave-174 length of light as the actual color. We regard the physically con-175 trollable range of projection  $p_c$  in each RGB channel as zero to 176  $p_{\text{max}}$ . The physically controllable range of the reflected light  $r_c$  is 177 determined by this range of  $p_c$ . When the target color is inside 178 this range, the projector can present the target color by  $r_c$  physi-179 cally as the actual color. When the target is outside this range, it 180 is impossible to present it physically under this condition. In this 181 case, we try to shift from the actual color to the target color per-182 ceptually by projecting  $p_s$  onto the surrounding area to introduce 183 a misperception of an illumination color. We then introduce the 184 target color with reflectance  $\mathbf{K}_{\mathbf{t}} = \text{diag}(k_{t,r}, k_{t,g}, k_{t,b})$ . As shown in 185 Fig. 3, this target color is sky blue. When this sky blue object is un-186 der uniformly colored illumination, as indicated on the right side 187 of Fig. 3, humans perceive the object color as sky blue in a relative 188 manner owing to the color constancy effect. When the projection 189

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Fig. 3. Idea for inducing color constancy. When a projector actually projects illumination as shown on the left side, observers perceive that uniformed colored illumination is projected, as shown on the right side. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

190  $p_s$  and environmental light l are projected onto the area with re-191 flectance  $K_t$ , the reflected light  $r_t$  can be obtained by the camera 192 as

$$\boldsymbol{c}_t = \mathbf{K}_t(\boldsymbol{p}_s + \boldsymbol{l}). \tag{5}$$

When the reflected lights  $r_c$  and  $r_t$  are the same, we can reproduce the light condition shown on the right side of Fig. 3 by projecting  $p_c$  and  $p_s$ , similarly to the image on the left side of Fig. 3. By reproducing this perceptual light condition, observers perceive that the object with the target color  $K_t$  is under a uniform colored light  $p_s$ . To obtain the projections  $p_c$  and  $p_s$  and present the target color, we must minimize the following cost function.

$$p_{c}, p_{s} \in \underset{p_{c}, p_{s}}{\operatorname{arg min}} |\mathbf{K}_{c}(p_{c}+l) - \mathbf{K}_{t}(p_{s}+l)|$$
(6)

When the result of Eq. (6) is zero, humans understand that a single colored uniform illumination  $p_s$  is projected, and the original color of the object is  $K_t$ . However, using only this cost function, there are multiple answers to make the cost function zero. We cannot specify suitable projection colors  $p_c$  and  $p_s$  with Eq. 6 alone. We describe how to determine a suitable projection of colors in the next section.

#### 207 3.2. Calculating suitable projection color

Our method projects colored illumination onto the surrounding areas even when we do not seek to change the colors of such areas. Thus, we define a suitable projection color of  $p_s$  as a color that is closest to achromatic.

With this algorithm, we focus on reproducing the target reflectance. In other words, we are not concerned with the brightness of the target color, and instead focus on the hue and color saturation in an HSV color space. When a projector can physically present the target color without applying our method, a  $p_c$  exists that satisfies the following:

$$\mathbf{K}_{\mathbf{c}}(\boldsymbol{p}_{\mathbf{c}}+\boldsymbol{l}) = g\mathbf{K}_{\mathbf{t}}\boldsymbol{u} \tag{7}$$

where  $\mathbf{u} = (1, 1, 1)^T$  and g is a scalar value. The left side of Eq. (7) indicates the RGB values of reflected light from a projected surface, and the right side shows the diagonal elements of the target reflectance. When there is no  $p_c$  satisfying Eq. (7), our algorithm finds  $p_c$  that can present a color which is close to the target color.

As the next step, we substitute the maximum power projection value  $p_{max}$  for  $p_{c,r}$ ,  $p_{c,g}$ , or  $p_{c,b}$ , which have the minimum value in  $\frac{k_{c,r}}{k_{t,r}}$ ,  $\frac{k_{c,g}}{k_{t,g}}$ , and  $\frac{k_{c,b}}{k_{t,b}}$ . By applying this step, we can set one of the 226 three values,  $p_{c,r}$ ,  $p_{c,g}$ , or  $p_{c,b}$ . The following equation is a deformation of Eq. (7) accomplished by division into each RGB element. 228

$$\frac{k_{c,r}}{k_{t,r}}(p_{c,r}+l_r) = \frac{k_{c,g}}{k_{t,g}}(p_{c,g}+l_g) = \frac{k_{c,b}}{k_{t,b}}(p_{c,b}+l_b)$$
(8)

Using the determined value, we can calculate the other two val-229 ues with Eq. (8). When all values are inside the presentable range 230 of the projector  $0 \le p_{c,i} \le p_{\max}$  ( $i \in \{r, g, b\}$ ), the target color can 231 be presented physically by projecting the obtained projection color 232 *p*<sub>*c*</sub>. However, these values are occasionally negative, indicating that 233 they are impossible to project using a projector. In these cases, 234 we calculate the projection color for the surrounding area  $p_s$  to 235 present the target color perceptually. 236

As we described before, when the residual of the cost function 237 in Eq. (6) is zero, color constancy will be induced. We then cal-238 culate  $p_s$ , which makes the residual zero based on the calculated 239  $p_c$ . First, we substitute zero for  $p_{c,r}$ ,  $p_{c,g}$ , and  $p_{c,b}$ , which have neg-240 ative values. Through this process, we can obtain all values of  $p_c$ . 241 By substituting a zero value, a physical difference occurs between 242 the target color and the reflected light. However, this will be com-243 pensated perceptually by the color projected onto the surrounding 244 areas  $p_s$ . Here,  $p_s$  can be calculated through the following equation. 245

$$\boldsymbol{p}_{\boldsymbol{s}} = \mathbf{K}_{\boldsymbol{c}} / \mathbf{K}_{\boldsymbol{t}}(\boldsymbol{p}_{\boldsymbol{c}} + \boldsymbol{l}) - \boldsymbol{l}$$
(9)

where ./ indicates element-wise division. Here,  $p_s$ , calculated using 247 Eq. (9), and  $p_c$  make the residual of the cost function in Eq. (3) 248 zero. Thus, we can induce color constancy and present the target 249 color by projecting  $p_c$  onto the center area and  $p_s$  onto the sur-250 rounding area. When all values of  $p_c$  are positive, the calculated 251 values become  $p_{s,r} = p_{s,g} = p_{s,b}$ , which means that  $p_s$  is an achro-252 matic color. In addition, when the original and target colors are 253 too far from each other,  $p_s$  may have a negative value. This means 254 that the target color cannot be presented, even perceptually, with 255 such a setup. We show the calculation explained in this section to 256 calculate projection colors  $p_c$  and  $p_s$  as follows in the form of an 257 algorithm. 258

#### 4. Experiment

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We conducted a user study to confirm the following three hypotheses, namely, that our method can 1) create a misperception 261 regarding the color of illumination, 2) broaden the presentable 262

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**Algorithm 1** Algorithm for calculating projection color to the central and surrounding areas.

tral and surrounding areas. **Input:** Target reflectance  $K_t = diag(k_{t,r}, k_{t,g}, k_{t,b})$ , original reflectance  $K_c = diag(k_{c,r}, k_{c,g}, k_{c,h})$ , environmental light  $\mathbf{l} = (l_r, l_g, l_b)^T$ **Output:** Projection color  $p_c$  and  $p_s$ calculate  $x \leftarrow \frac{k_{c,r}}{k_{t,r}}$ ,  $y \leftarrow \frac{k_{c,g}}{k_{t,g}}$ ,  $z \leftarrow \frac{k_{c,b}}{k_{t,b}}$ if (x = min(x, y, z)) then  $p_{c,r} \leftarrow p_{\max}$   $p_{c,g} = \frac{k_{c,r}k_{t,g}}{k_{t,r}k_{c,g}}(p_{c,r} + l_r) - l_g$  $if p_{c,g} < 0 then p_{c,g} \leftarrow 0 endif$  $p_{c,b} = \frac{k_{c,r}k_{t,b}}{k_{t,r}k_{c,b}}(p_{c,r} + l_r) - l_b$  $\begin{aligned} & \text{if } p_{c,b} < 0 \text{ then } p_{c,b} \leftarrow 0 \text{ endif} \\ & \text{else if } (y = min(x, y, z)) \text{ then} \\ & p_{c,g} = p_{\max} p_{c,r} = \frac{k_{c,g}k_{t,r}}{k_{t,g}k_{c,r}} (p_{c,g} + l_g) - l_r \end{aligned}$ if  $p_{c,r} < 0$  then  $p_{c,r} \leftarrow 0$  endif  $p_{c,b} = \frac{k_{c,g}k_{t,b}}{k_{t,g}k_{c,b}}(p_{c,g} + l_g) - l_b$  $\begin{aligned} & \textbf{if} p_{c,b} < 0 \ \textbf{then} p_{c,b} \leftarrow 0 \ \textbf{endif} \\ & \textbf{else if} \ (z = min(x, y, z)) \ \textbf{then} \\ & p_{c,b} = p_{\max} p_{c,r} = \frac{k_{c,b} k_{t,r}}{k_{t,b} k_{c,r}} (p_{c,b} + l_g) - l_r \end{aligned}$ if  $p_{c,r} < 0$  then  $p_{c,r} \leftarrow 0$  endif  $p_{c,g} = \frac{k_{c,b}k_{t,g}}{k_{t,b}k_{c,g}}(p_{c,b} + l_b) - l_g$  $\mathbf{if} p_{c,g} < 0 \mathbf{then} p_{c,g} \leftarrow 0 \mathbf{endif}$ end if  $p_{s,r} = \frac{k_{c,r}}{k_{t,r}}(p_{c,r} + l_r) - l_r$  $p_{s,g} = \frac{k_{c,g}}{k_{t,g}}(p_{c,g} + l_g) - l_g$  $p_{s,b} = \frac{k_{c,b}}{k_{t,b}}(p_{c,b} + l_b) - l_b$ 

**return**  $\mathbf{p}_{c} = (p_{c,r}, p_{c,g}, p_{c,b})^{T}, \mathbf{p}_{s} = (p_{s,r}, p_{s,g}, p_{s,b})^{T}$ 

color range of a projector, and 3) shift the perceptual colors toward the desired directions. In this section, we describe the details
of the user study and the results.

266 4.1. Procedure

We used six colors in the third row of X-rite Color Checker, 267 268 which is shown in Fig. 4 (a). We controlled the reflected light from 269 the color checker through a projection and compared the perceived colors using two methods: our method and naïve overlaying pro-270 jection. Naïve overlaying projection retains the projection to the 271 surrounding area  $p_s$  as a constant achromatic color to avoid induc-272 ing an illusion like our method. However, naïve projection method 273 274 also calculates projection color to the target area  $p_c$  by estimated reflectance and measured environmental light using the method 275 276 proposed by Amano et al. [17]. We changed the six original colors in the Color Checker to six other colors that are the farthest 277 from them, namely, red, green, blue, yellow, magenta, and cyan, 278 respectively. For example, when we change from red, we change 279 to red, green, blue, yellow, magenta, and cyan as much as possible. 280 The six directions under this situation are shown in Fig. 4 (b). Our 281 282 method presents the perceptually farthest colors with an illusion, and the naïve overlaying projection presents the physically farthest 283 colors without an illusion. There were 6 original colors applied  $\times$  284 6 directions  $\times$  2 methods, for a total of 72 conditions applied. We used an EPSON EH-TW5650 projector to control the colors and a XIMEA MQ013CG-E2 camera for monitoring the controlled colors. 287

Ten naïve participants took part in this experiment, and all par-288 ticipants had normal or corrected-to-normal visual acuity and nor-289 mal color vision. Each participant was asked to perform a matching 290 task. We changed one color into another using a projection, and 291 the participants viewed the projected color and found the clos-292 est matching color from a color sample (PANTONE Formula Guide 293 Solid Uncoated). We set a color of illumination of the experimental 294 room as white and made luminance to the projection targets and 295 the color sample the same. In this study, we focus on human per-296 ceived color, not physical value. Because of these reasons, we did 297 not measure chromaticity of projection targets and the color sam-298 ples. A number (1 6) was assigned near each target color to tell 299 participants which target color to make a match. A color sample, 300 which the participant could see freely, was then placed near the 301 participant. Although the participants could see the projected tar-302 get and the color sample any number of times, we requested them 303 to report as quickly as possible. The amount of time required for 304 the entire experiment was approximately 30 min, and no breaks 305 were taken. 306

Each participant completed a matching task for all conditions in 307 a randomized order. A total of 74 conditions were applied. In our 308 experimental environment, the projection was identical between 309 our method and the naïve approach under four of the conditions 310 as a result of the above calculations. We therefore removed four 311 of the 72 conditions. However, the participants also conducted a 312 matching task for each of the six colors under white illumination, 313 thus returning the number of conditions to 74. 314

An overview of the experimental setup is shown in Fig. 5 (a), 315 and the configuration of the equipment is shown in Fig. 5 (b). The 316 participants sat near the camera to make certain that lights re-317 flected to the camera and the participant's eyes were mostly the 318 same. The color sensitivities of a camera and of human eyes are 319 different, and thus the colors captured by a camera and the per-320 ceived colors may differ as well. However, to use a camera to mea-321 sure the intensity of the reflected light in each pixel, we set the 322 gamma of the camera to 1. Thus, we do not assume that the cap-323 tured images and the perceived appearance are the same. In addi-324 tion, we set the distance between the color checker and the par-325 ticipant to approximately 1.5 m, and the luminance of the environ-326 ment is set as approximately 1000 lx. 327

#### 4.2. Results

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We examined the following two aspects of applying our PII 329 from the available data: whether the presentable color range can 330 be broadened, and whether the perceptual color can be shifted to-331 ward the desired direction. To answer the first question, we com-332 puted the average values of each condition from the results of all 333 participants. We plotted these average values and filled in the sur-334 rounding regions on a u'v' color chromaticity diagram in an at-335 tempt to evaluate in a color space that reflect perceptual unifor-336 mity. The results of our method are represented by the blue region 337 and the red region in the results without our method. The results 338 are shown in Fig. 6. Under all conditions applied, the blue colored 339 regions are larger than the red colored regions. We also calculated 340 the amplification ratio between the regions of the naïve projection 341 and our method. The presentable color ranges on the u'v' diagrams 342 are at least 1.3- and at most 6-times larger. The values for each 343 color are shown in Fig. 6. 344

Second, we examined whether our method can shift the perceptual color in the desired direction. We computed pairs of vec-346

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**Fig. 4.** (a) The six colors within the orange frame are used as the projection target in the user study. (b) Examples of six directions when we control the color from red during the user study. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)



Fig. 5. Overview of experiment setup. (a) Layout of participants and our system. (b) Actual experimental environment.



**Fig. 6.** The presentable color ranges of each original color using naïve projection and our PII method. The results of the naïve projection are shown in translucent red, and those of our PII method are shown in blue. The numbers shown in the upper-right of each figure represent the ratio of each pair of regions. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

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347 tors, namely, vectors from the original colors to the resulting col-348 ors through naïve projection, and vectors from the original colors to the resulting colors by our method. We then calculated the off-349 350 set angles for each pair. When the calculated angles are near zero, it indicates that our method can shift the perceptual colors in the 351 desired directions, which are the same as the directions used by 352 the naïve projection. The box plots are shown in Fig. 7. We exam-353 ined the directions by checking whether the angles were concen-354 355 trated at low values. We drew dotted lines at 30 degrees as a ref-356 erence for visual clarity. Using our current technology, it is difficult 357 to present the perceptual colors in a precise manner, and there are 358 individual differences in color perception. Thus, we examined the 359 directions roughly using this method. As a result, when the target 360 colors and the original colors were the same or similar, the angles increased. By contrast, when the target colors and the original col-361 ors were far from each other, the angles decreased. This tendency 362 can also be found from the results of length shown in Fig. 8. 363

We compared the lengths of the same pairs of vectors using a 364 one-sided *t*-test to determine whether our method can represent 365 more distant colors as compared to those of the naïve projection. 366 The results are shown in Fig. 8. We found that there are significant 367 368 differences between the two when the original color and the target 369 color have a completely or nearly completely complementary color 370 relationship. For example, when the original color is blue, there are significant differences in the cases of red, green, or yellow, which 371 are far away from blue. In contrast, the other colors, blue, magenta, 372 and cyan, mainly contain a blue element and are close to blue. 373 374 From these results, we conclude that our method can broaden the presentable color range of a projector toward the complementary 375 376 directions. Judging from the results presented here, our PII method 377 can broaden the presentable color range of a projector toward the 378 complementary color direction from the original color of the ob-379 ject and accurately control the perceptual color. By contrast, our PII method is not as effective when the chromaticity levels of the 380 original and target colors are similar. 381

We also calculated the length from the resulting colors by our method and by naïve projection to the each target color and compared the length using a one-sided t-Test. The results are shown in Fig. 9. We found there are significant differences between the two when the original color and the target color have a completely or nearly completely complementary color relationship, which is same result of previous test shown in Figs. 8 and 9.

### 389 5. Discussion

From the user study, we found that our method is effective when the original color of an object and the target color have a nearly complementary color relationship. We believe that there are two main reasons for this, which are caused by the characteristics of color constancy.

First, the human visual system estimates the color of the illu-395 396 mination and weakens the color sensed by the eyes to perceive 397 the original colors of the actual object in a relative manner [29]. When the resulting colors are already saturated in chromaticity, 398 it becomes difficult to weaken any elements of RGB to make the 399 color more saturated. We believe that we can explain the results of 400 the user study based on this characteristic. In Figs. 7 and 8, when 401 402 the original colors and the target colors are similar, the angles be-403 come larger with no significant difference in length. We believe 404 that this occurs because the colors resulting from the naïve projection are sufficiently deep, and the color constancy effect weak-405 ens. In addition, when the target colors mainly contain elements 406 407 of the original color, the results are similar. For example, in Fig. 7, when the original color is green, the results of green, yellow, and 408 cyan are greater than 30°. In these cases, yellow primarily contains 409 green and red, and cyan contains green and blue. Thus, the color 410

constancy effect is also not excessive in these cases, and the errors 411 increase. 412

Second, the color constancy effect is powerful when the actual 413 colors are near a non-color [30]. The human visual system easily 414 distinguishes gray from non-gray. However, it is not as easy to dis-415 tinguish two nearly non-gray colors when they are deep, even if 416 the difference between the two colors is the same as that between 417 the gray and non-gray cases [31]. When changing to complemen-418 tary colors, the actual resulting colors become near gray, and the 419 perceptual colors shift from this color when using our PII method. 420 In this case, the perceptual colors can be shifted dynamically. 421

The case of the original color, i.e., red, and the target color, i.e., 422 blue in Fig. 7, cannot be explained with the color constancy ef-423 fect described above because the resulting angle is not a small and 424 concentrated angle, despite red and blue being distant colors. We 425 suppose that this is due to the brightness of the surface. People 426 perceive blue as darker than green even if the intensities of the 427 two colors are identical [32]. In addition, people perceive blue as 428 darker under well-lit environments as compared to dark environ-429 ments through the Purkinje effect [33]. For these reasons, the re-430 sulting colors were perceived as dark, and the answers from the 431 participants are unstable. 432

Our experimental results and the present discussion reveal an 433 effective aspect regarding our proposed method. Our method is 434 helpful in presenting a color which is distant from the original 435 color, such as a complementary color. Although our method is 436 not very effective when the original and target color are similar, 437 the target color can be presented through a simple naïve projec-438 tion under this situation. The purpose of this study is to create a 439 method that can perceptually represent colors that cannot be pre-440 sented physically. From this viewpoint, the limitation is not a sig-441 nificant problem and our method is effective regarding its intended 442 purpose. 443

In this research, we focus on changing only one color to another 444 color. As an application, we hypothesize that this research can be 445 used when we would like to display digital images on a colored 446 wall. Usually, walls do not contain many colors. Thus, each wall 447 has each color, which is difficult to be presented by projection. For 448 example, when we seek to visualize something on a red wall, it is 449 difficult to present a cyan color. In this case, our system can shift 450 a presentable color range to the cyan direction by projecting red 451 light to the surroundings. 452

#### 6. Limitations and future work

There are several issues remaining to be addressed. First, our 454 method does not consider the necessary size of the projection 455 onto the surrounding areas. Currently, when our system induces 456 color constancy, the system projects the surrounding projection as 457 broadly as possible. We do not require much of the broad chro-458 matic colored projection to induce color constancy. However, there 459 have been no studies regarding the necessary area for inducing 460 color constancy. To employ an effect of color constancy, observers 461 need to misperceive the projection onto the surrounding areas as 462 having uniform illumination in all areas. Thus, if the projection to 463 the surrounding areas is too narrow, similar to projecting only onto 464 the edges of the target area, color constancy will not be induced. 465 As a future study, we will seek to determine the minimum area 466 of projection onto the surrounding area for inducing color con-467 stancy by conducting a user study to minimize the discomfort oc-468 curring by colored illumination onto the surrounding areas. When 469 colored illumination cannot be projected onto a surrounding area, 470 it is difficult to induce color constancy. Under this situation, it may 471 be possible to employ other illusions, such as a watercolor illu-472 sion [34]. Such an illusion is induced by the colors of the edges, 473 and the perceived colors shift perceptually [34,35]. 474

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Fig. 7. The results of angles between pairs of vectors: (1) from the original colors into the resulting colors through a naïve projection, and (2) from the original colors to the resulting colors using our PII method. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)



Our method \*\*\* p<0.001 \*\* p<0.01 Naïve Projection \* , p<0.05 +0.05<p<0.1 Original color: BLUE 0.12 0.1 0.08 0.06 N/A (\*\*) 0.04 0.02 0 YELLOW MAGENTA CYAN BLUE RED GREEN Original color: GREEN 0.12 0.1 \* 0.08 0.06 0.04 0.02 T 0 MAGENTA BLUE GREEN YELLOW RED CYAN Original color: RED 0.12 0.1 0.08 0.06 0.04 0.02 Length ł 0 MAGENTA BLUE GREEN YELLOW CYAN Original color: YELLOW 0.12 0.1 0.08 0.06 N/A N/A N/A 0.04 0.02 0 BLUE MAGENTA CYAN GREEN RED YELLOW Original color: MAGENTA 0.12 0.1 0.08 0.06 0.04 0.02 0 GREEN YELLOW MAGENTA CYAN BLUE RED Original color: CYAN t 0.12 \*\* 0.1 0.08 0.06 0.04 0.02 0 YELLOW MAGENTA BLUE GREEN RED CYAN Target color

> \*: Result colors with and without our method are mathematically equal. We did not try these cases.

**Fig. 8.** Results of the comparison between vector lengths: from the original colors to the resulting colors using (1) naïve projection and (2) our PII method. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

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**Fig. 9.** Results of the comparison between lengths on the u'v' chromaticity diagram: from the target colors to the resulting colors using (1) naïve projection and (2) our PII method. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

Second, we assume that humans have perfect color constancy 475 in their vision system. This means that humans can perfectly elim-476 inate the effects of colored illumination and completely perceive 477 the original colors of real objects. In fact, however, humans do not 478 possess such a perfect color constancy. When the color of illumina-479 tion is deep, the perceived color is shifted. For example, when hu-480 mans see blue objects under red illumination, we can perceive the 481 object color as a reddish-blue color, not the true blue. For this rea-482 son, our system cannot estimate the accuracy of the perceived col-483 ors. For an accurate perceptual color reproduction, we require the 484 use of a color appearance model of the imperfect color constancy 485 of humans. RLAB, proposed by Fairchild [36], or a color appearance 486 model, proposed by Kuriki [37], take the appearance under colored 487 illumination into consideration. By employing these color appear-488 ance models, our method may represent perceptual colors more 489 accurately. 490

For the experiments in this paper, we did not measure the illu-491 minant condition. We used the fluorescent light in the room as en-492 vironmental light, therefore keeping the lighting of the target ob-493 jects and color samples the same. Thus, we examined the amount 494 of change of the colors under fluorescent light to the colors with 495 projection. However, environmental light may affect to the result-496 ing colors of the system. In this paper, we did not investigate the 497 effects of environmental light on the perceived colors that the sys-498 tem can control. We will investigate how well the system can con-499 trol colors under various environmental light conditions in the fu-500 ture. 501

The current algorithm focuses on changing one color to another. 502 In other words, our system currently cannot change multiple col-503 ors. There are two solutions to applying multiple colors. One is 504 simply creating a large perceptually uniform colored illuminated 505 area that includes multiple target areas inside it. However, this so-506 lution can be used when all directions from each original color 507 to each target color are similar. For example, changing both red 508 and green regions toward the blue direction is possible. In contrast, 509 when we would like to change a red region into green and a green 510 region into blue, it is not possible to present both colors while us-511 ing a single uniform illumination. In this case, when multiple re-512 gions are geometrically separated, we can create multiple percep-513 tually uniform color illuminated areas. However, this means that 514 several separate areas that are illuminated with different illumina-515 tion exist in a single scene. This is an unusual situation, and may 516 result in discomfort. These two solutions are already possible with 517 current technology. In addition, we hypothesize that the possibility 518 to expand our method by employing other visual illusions exists. 519 There are several illusions which alter perceptual colors of an area 520 due to colors of the edges of the area. For example, humans per-521 ceive colorfulness of achromatic areas with colorful edges [34], and 522 humans perceive the brightness of surfaces differently when the 523 edge brightness is different, even if the actual brightness is identi-524 cal [38]. By employing these kinds of illusions, we hypothesize that 525 there is a possibility to change surface colors perceptually without 526 changing the broad areas of the surroundings. 527

#### 7. Application

Based on our method, we developed an application that can au-529 tomatically control perceptual colors by inducing an illusion. The 530 system consists of a projector, a camera, and a computer. Currently, 531 we are focusing on changing one color to another. We must se-532 lect one color from a captured image as an original color and se-533 lect another color as the desired color. After selection, the system 534 automatically creates a projection image to present the desired 535 color. If the desired color can be presented without our method, 536 a projection of the color onto the surrounding areas will be achro-537 matic. In contrast, if the desired color cannot be presented using a 538

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Fig. 10. Projection results. This figure shows the controlled appearance using our method and a naïve projection.

naïve projection, a color projected onto the surrounding areas will be chromatic and induce color constancy. Three projected results are shown in Fig. 10. In the third row, the resulting images using our method and naïve projection are shown. Although the physical colors of each of the pairs are completely the same, the perceptual colors differ. Colors of the results obtained by the proposed method are more similar than each target color.

546 As a real-world application of this study, we believe that we 547 can classify applications of this study into 2 groups.

- presenting colors which cannot be presented in that condition
   physically.
- 2. presenting colors which cannot be perceived normally forsomeone.

552 In first group, we think we can use our technique for adver-553 tisements or entertainments. By dynamically changing the colors of printed posters or real objects, they can more effectively catch 554 the attention of viewers. In an actual scene, environments are too 555 bright to use a projector. However, various colors can be repre-556 557 sented through our method: compared to naïve overlaying projection, colors of static objects can be controlled dramatically. In ad-558 dition, we believe that projection mapping events can occur un-559 der well-lit indoors when applying our approach. Most projection 560 mapping events are held outside at night or in a dark room to ob-561 562 tain a sufficiently presentable color range of the projector. When 563 environmental light is too bright like in the daytime outside, it 564 is very difficult for our eyes to perceive projected colors. In that case, our method also cannot be used. However, using our method, 565 566 the presentable color range can be broadened, and we may ob-567 tain a sufficient color range for the projection mapping. Moreover, we believe our method to be helpful in visualizing annotations in 568 the real world using projection. In the context of augmented real-569 ity, substantial research is conducted for visualizing annotation on 570 HMDs or on physical surfaces using projection. When users desire 571 572 to show specific colored annotations on colored surfaces with projection, it may sometimes be very difficult because of the color of 573 574 the surface. In this case, our method represents annotations with difficult colors on the surface. 575

In addition, as a example in 2nd group of applications, we believe that our system offers the possibility to support the vision
of color-deficient individuals, who have difficulty perceiving specified colors owing to an anomaly or lack of cones. In other words,
their brains are the same as those of individuals with normal vi-

sion, and they also possess a color constancy system. Our system 581 can shift their perceptual colors without changing the actual col-582 ors. Thus, even if such individuals cannot perceive a specified color, 583 our system may show colors that they normally cannot see. Studies 584 supporting color-deficient individuals using devices such as mo-585 bile phones [39], OST-HMDs [40], or projectors [18] have been con-586 ducted. There are also lenses that block the confusing wavelengths 587 of light for use in glasses [41] or contact lenses [42]. There are re-588 coloring research for color-deficient individuals as well [43]. While 589 these studies are helpful to distinguish confusing colors, they do 590 not indicate that users can see colors which they usually cannot 591 see. We believe that our method has the potential to show col-592 ors which color-deficient individuals cannot see, which would be a 593 powerful application. 594

#### 8. Conclusion

We designed a projection technique that can broaden the pre-596 sentable color range of a projector perceptually by inducing color 597 constancy. Through a user study, we examined our method to de-598 termine whether it can 1) induce color constancy, 2) broaden the 599 presentable color range of a projector, and 3) shift the perceptual 600 colors toward the desired directions. As the results indicate, the 601 first two aspects were confirmed. For the third, our method can 602 shift perceptual colors toward the desired direction when the orig-603 inal color and the target color are dissimilar. Despite the limita-604 tions of the original and target colors, our method is effective for 605 perceptually presenting colors that are difficult to present physi-606 cally. 607

In the research field of augmented reality, perceptual-based 608 approaches have been recently proposed [25,44–46]. These ap-609 proaches employ the effects of the human visual system to achieve 610 research goals that are physically difficult or otherwise impossi-611 ble. Perceptual-based approaches offer the possibility to overcome 612 the limitations of physical-based methods. We believe that we 613 must take perception into consideration when applying augmented 614 reality. 615

#### **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper. 619

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#### **CRediT** authorship contribution statement 620

Ryo Akiyama: Conceptualization, Methodology, Software, Writ-621 622 ing - original draft, Writing - review & editing, Validation, Funding acquisition. Goshiro Yamamoto: Methodology, Writing - re-623 view & editing, Funding acquisition. Toshiyuki Amano: Method-624 ology, Writing - review & editing, Resources. Takafumi Taketomi: 625 Writing - review & editing. Alexander Plopski: Writing - review & 626 627 editing. Yuichiro Fujimoto: Writing - review & editing. Masayuki Kanbara: Writing - review & editing. Christian Sandor: Writing -628 629 review & editing. Hirokazu Kato: Supervision, Resources.

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